


Docket No.
4200.02 US

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NOVEL METALLOTEXAPHYRIN DERIVATIVES

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on September 10, 2003 as United States Application No. or PCT International Application Number 10/659,499 and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/229,255

(Application Serial No.)

08/30/2000

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/941,924

(Application Serial No.)

08/28/2001

(Filing Date)

Patented

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

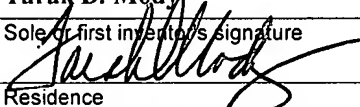
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

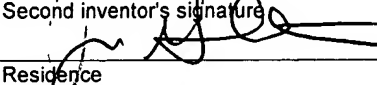
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Vinit G. Kathardekar, Reg. No. 39,461

Send Correspondence to: **Vinit G. Kathardekar**
Pharmacyclics, Inc.
995 E. Arques Avenue
Sunnyvale, CA 94085

Direct Telephone Calls to: *(name and telephone number)*
Vinit G. Kathardekar, Direct 408.990.7367

Full name of sole or first inventor Tarak D. Mody	
Sole or first inventor's signature 	Date April 20, 2004
Residence 955 La Mesa Terrace, Unit E, Sunnyvale, CA 94086	
Citizenship United States	
Post Office Address 955 La Mesa Terrace, Unit E	
Sunnyvale, CA 94086	

Full name of second inventor, if any Joshua Galanter	
Second inventor's signature 	Date 2/11/08
Residence 3175 S. Sepulveda Blvd., Los Angeles, CA 90034	
Citizenship United States	
Post Office Address 3175 S. Sepulveda Blvd.	
Los Angeles, CA 90034	

PATENTS ONLY

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tarak MODY and Joshua GALANTER

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: PHARMACYCLICS INC.

Internal Address:

Street Address: 995 E. Arques Avenue

City: Sunnyvale State: CA ZIP: 94085

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 04/20/04 & 02/11/04, respectively

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/659,499

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Vinit G. Kathardekar

Internal Address: Pharmacyclitics, Inc.

Street Address: 995 E. Arques Avenue

City: Sunnyvale State: CA ZIP: 94085

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

16-1450

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Vinit G. Kathardekar, Reg. No. 39,461

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT


WHEREAS, We, TARAK MODY and JOSHUA GALANTER, having the addresses indicated below, have invented certain subject matter entitled "NOVEL METALLOTEXAPHYRIN DERIVATIVES" (the "Invention") for which We have executed application U.S. Serial No. 10/659,499, filed September 10, 2003 ("Attorney Docket No. 4200.02 US") for Letters Patent of the United States of America;

AND WHEREAS, Pharmacyclics, Inc., having an address at 995 E. Arques Avenue, Sunnyvale, California 94085 ("PHARMACYCLICS") desires to perfect its right to the Invention and in the Letters Patent to be obtained therefor from the United States of America and all countries foreign thereto;

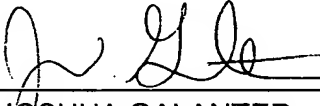
NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, We acknowledge PHARMACYCLICS' interest in the Invention and hereby assign to PHARMACYCLICS for the United States of America and for all countries foreign thereto, all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in application Attorney Docket No. 4200.02 US, or in any continuation, division, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent to be owned by PHARMACYCLICS, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent may be granted.

This assignment is effective as of the earlier of: (a) the date of my signature hereto, or (b) the filing date accorded by the United States Patent and Trademark Office to application Attorney Docket No. 4200.02 US, as indicated in the Filing Receipt for said application.

I have read and understand this Assignment document for application Attorney Docket No. 4200.02 US and agree hereto, as indicated by my signature as set forth below.

Signature  Date April 20, 2004
TARAK MODY

Address 955 La Mesa Terrace, Unit E, Sunnyvale, CA 94086

Signature  Date 2/11/04³⁰
JOSHUA GALANTER

Address 3175 S. Sepulveda Blvd., Los Angeles, CA 90034